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PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kay Hellig et al.

Title: SYSTEM FOR FORMING A SEMICONDUCTOR DEVICE AND  
METHOD THEREOF

Application No.: 10/058,854

Filed: 02-28-2002

Examiner: William M. Brewster

Group Art Unit: 2823

Atty. Docket No.: 1458-TT4962

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

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Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449  
☐ Other: n/a

to the Examiner's attention in the above-identified application.. Citation of such information shall not be construed as:

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2. a representation that a search has been made, other than as described below; or
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For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as either First Class Mail or Express Mail, in an envelope addressed to the Commissioner for Patents on 4-26-04.

KATRINA PRATT  
Typed or Printed Name

Katrina Pratt  
Signature

counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office..

STATEMENT UNDER 37 C.F.R. § 1.704(d)

If the above-identified application is an original application filed on or after May 29, 2000:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement..

FEES DUE

This Information Disclosure Statement is being filed:


- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application.. Therefore, no fee is required..
- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114.. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement..
- ☐ during the period specified in § 1.97(c).. Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal..
- ☐ during the period specified in § 1.97(d).. Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal.. Additionally, each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.

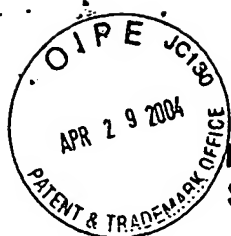
Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-2469.

Respectfully submitted,

Date

4-28-04

  
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Substitute for form 1449A/PTO

Sheet 1 of 1  
(use as many sheets as necessary)  
Complete if Known

Application Number: 10/058,854  
Filing Date: 01/28/2002  
First Named Inventor: Kay Hellig  
Group Art Unit: 2823  
Examiner Name: William M. Brewster  
Attorney Docket Number: 1458-TT4962

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No.1	U.S. Patent Document Number	Kind Code 2 (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	6251719	B1	Wang	06-26-2001	...
	AB	5786276	..	Brooks et al.	07-28-1998	...
	AC	5039621	..	Pollack	08-13-1991	...
	AD	4837180	..	Chao	06-06-1989	...
	AE	5629230	..	Fazan et al.	05-13-1997	...
	AF	4818714	..	Haskell	04-04-1989	...
	AG	.....	..	.....	.....	.....
	AH	.....	..	.....	.....	.....
	AI	.....	..	.....	.....	.....
	AJ	.....	..	.....	.....	.....

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No.1	Foreign Patent Document Office 3	Number	Kind Code 2 (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 4
	AK	..	.....	...	.....	.....	.....	<input type="checkbox"/>
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	AM	..	.....	...	.....	.....	.....	<input type="checkbox"/>
	AN	..	.....	...	.....	.....	.....	<input type="checkbox"/>
	AO	..	.....	...	.....	.....	.....	<input type="checkbox"/>

## **PUBLICATIONS**

Examiner Initials *	Cite No.1	Title of Publication	Date of Publication of Cited Document MM-DD-YYYY
	AW	.....	.....
	AX	.....	.....
	AY	.....	.....
	AZ	.....	.....

Examiner Signature	Date Considered
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1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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